**373**

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| \*\*\*\* (USE THE FOLLOWING IN ALL RFP's AND CONTRACTS.) \*\*\*\* |

**PART II - CONTRACT CLAUSES**

**374**

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| \*\*\*\* (USE THE FOLLOWING IN ALL RFP's AND CONTRACTS.) \*\*\*\* |

**SECTION I - CONTRACT CLAUSES**

**378**

**ARTICLE I.1. GENERAL CLAUSES FOR A FIXED-PRICE RESEARCH AND DEVELOPMENT SBIR PHASE II CONTRACT**

*This contract incorporates the following clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically as follows: FAR Clauses at:*<http://www.acquisition.gov/far/>*. HHSAR Clauses at:*<http://www.hhs.gov/policies/hhsar/subpart352.html>*.*

*a. FEDERAL ACQUISITION REGULATION (FAR) (48 CFR CHAPTER 1) CLAUSES:*

|  FAR  CLAUSE NO.  |  DATE  |  TITLE  |
| --- | --- | --- |
| *52.202-1* | *Jun 2020* | *Definitions (Over the Simplified Acquisition Threshold)* |
| *52.203-3* | *Apr 1984* | *Gratuities (Over the Simplified Acquisition Threshold)* |
| *52.203-5* | *May 2014* | *Covenant Against Contingent Fees (Over the Simplified Acquisition Threshold)* |
| *52.203-6* | *Nov 2021* | *Restrictions on Subcontractor Sales to the Government (Over the Simplified Acquisition Threshold)* |
| *52.203-7* | *Jun 2020* | *Anti-Kickback Procedures (Over $150,000)* |
| *52.203-8* | *May 2014* | *Cancellation, Rescission, and Recovery of Funds for Illegal or Improper Activity (Over the Simplified Acquisition Threshold)* |
| *52.203-10* | *May 2014* | *Price or Fee Adjustment for Illegal or Improper Activity (Over the Simplified Acquisition Threshold)* |
| *52.203-12* | *Jun 2020* | *Limitation on Payments to Influence Certain Federal Transactions (Over $150,000)* |
| *52.203-17* | *Jun 2020* | *Contractor Employee Whistleblower Rights and Requirements to Inform Employees of Whistleblower Rights (Over the Simplified Acquisition Threshold)* |
| *52.203-19* | *Jan 2017* | *Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements* |
| *52.204-4* | *May 2011* | *Printed or Copied Double-Sided on Postconsumer Fiber Content Paper(Over the Simplified Acquisition Threshold)* |
| *52.204-10* | *Jun 2020* | *Reporting Executive Compensation and First-Tier Subcontract Awards* |
| *52.204-13* | *Oct 2018* | *System for Award Management Maintenance* |
| *52.204-25* | *Aug 2020* | *Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment* |
| *52.209-6* | *Nov 2021* | *Protecting the Government's Interest When Subcontracting With Contractors Debarred, Suspended, or Proposed for Debarment* |
| *52.215-2* | *Jun 2020* | *Audit and Records - Negotiation* |
| *52.215-8* | *Oct 1997* | *Order of Precedence - Uniform Contract Format* |
| *52.215-10* | *Aug 2011* | *Price Reduction for Defective Certified Cost or Pricing Data (Over $750,000)* |
| *52.215-12* | *Aug 2020* | *Subcontractor Certified Cost or Pricing Data* |
| *52.215-14* | *Nov 2021* | *Integrity of Unit Prices (Over the Simplified Acquisition Threshold)* |
| *52.215-15* | *Oct 2010* | *Pension Adjustments and Asset Reversions (Over $750,000)* |
| *52.215-18* | *Jul 2005* | *Reversion or Adjustment of Plans for Post-Retirement Benefits (PRB) other than Pensions* |
| *52.215-19* | *Oct 1997* | *Notification of Ownership Changes* |
| *52.215-21* | *Nov 2021* | *Requirements for Certified Cost or Pricing Data and Data Other Than Certified Cost or Pricing Data - Modifications* |
| *52.219-6* | *Nov 2020* | *Notice of Total Small Business Set-Aside* |
| *52.219-8* | *Oct 2022* | *Utilization of Small Business Concerns (Over the Simplified Acquisition Threshold)* |
| *52.219-14* | *Oct 2022* | *Limitations on Subcontracting* |
| *52.222-3* | *Jun 2003* | *Convict Labor* |
| *52.222-21* | *Apr 2015* | *Prohibition of Segregated Facilities* |
| *52.222-26* | *Sep 2016* | *Equal Opportunity* |
| *52.222-35* | *Jun 2020* | *Equal Opportunity for Veterans ($150,000 or more)* |
| *52.222-36* | *Jun 2020* | *Equal Opportunity for Workers with Disabilities* |
| *52.222-37* | *Jun 2020* | *Employment Reports on Veterans ($150,000 or more)* |
| *52.222-40* | *Dec 2010* | *Notification of Employee Rights Under the National Labor Relations Act (Over the Simplified Acquisition Threshold)* |
| *52.222-50* | *Nov 2021* | *Combating Trafficking in Persons* |
| *52.222-54* | *May 2022* | *Employment Eligibility Verification (Over $150,000)* |
| *52.223-6* | *May 2001* | *Drug-Free Workplace* |
| *52.223-18* | *Jun 2020* | *Encouraging Contractor Policies to Ban Text Messaging While Driving* |
| *52.225-1* | *Nov 2021* | *Buy American - Supplies* |
| *52.225-13* | *Feb 2021* | *Restrictions on Certain Foreign Purchases* |
| *52.227-1* | *Dec 2007* | *Authorization and Consent, Alternate I (Apr 1984)* |
| *52.227-2* | *Jun 2020* | *Notice and Assistance Regarding Patent and Copyright Infringement* |
| *52.227-11* | *May 2014* | *Patent Rights - Ownership by the Contractor (Note: In accordance with FAR 27.303(b)(2), paragraph (e) is modified to include the requirements in FAR 27.303(b)(2)(i) through (iv). The frequency of reporting in (i) is annual.* |
| *52.227-20* | *May 2014* | *Rights in Data - SBIR Program* |
| *52.229-3* | *Feb 2013* | *Federal, State and Local Taxes (Over the Simplified Acquisition Threshold)* |
| *52.229-4* | *Feb 2013* | *Federal, State, and Local Taxes (State and Local Adjustments) (Over the Simplified Acquisition Threshold)* |
| *52.232-2* | *Apr 1984* | *Payments under Fixed-Price Research and Development Contracts* |
| *52.232-9* | *Apr 1984* | *Limitation on Withholding of Payments* |
| *52.232-17* | *May 2014* | *Interest (Over the Simplified Acquisition Threshold)* |
| *52.232-23* | *May 2014* | *Assignment of Claims* |
| *52.232-25* | *Jan 2017* | *Prompt Payment* |
| *52.232-33* | *Oct 2018* | *Payment by Electronic Funds Transfer--System for Award Management* |
| *52.232-39* | *Jun 2013* | *Unenforceability of Unauthorized Obligations* |
| *52.233-1* | *May 2014* | *Disputes* |
| *52.233-3* | *Aug 1996* | *Protest After Award* |
| *52.233-4* | *Oct 2004* | *Applicable Law for Breach of Contract Claim* |
| *52.242-13* | *Jul 1995* | *Bankruptcy (Over the Simplified Acquisition Threshold)* |
| *52.243-1* | *Aug 1987* | *Changes - Fixed Price, Alternate V (Apr 1984)* |
| *52.244-5* | *Dec 1996* | *Competition in Subcontracting (Over the Simplified Acquisition Threshold)* |
| *52.244-6* | *Mar 2023* | *Subcontracts for Commercial Products and Commercial Services* |
| *52.246-25* | *Feb 1997* | *Limitation of Liability - Services (Over the Simplified Acquisition Threshold)* |
| *52.249-2* | *Apr 2012* | *Termination for the Convenience of the Government (Fixed-Price)* |
| *52.249-9* | *Apr 1984* | *Default (Fixed-Price Research and Development)(Over the Simplified Acquisition Threshold)* |
| *52.253-1* | *Jan 1991* | *Computer Generated Forms* |

*b. DEPARTMENT OF HEALTH AND HUMAN SERVICES ACQUISITION REGULATION (HHSAR) (48 CFR CHAPTER 3) CLAUSES:*

|  HHSAR  CLAUSE NO.  |  DATE  |  TITLE  |
| --- | --- | --- |
| *352.203-70* | *Dec 2015* | *Anti-Lobbying* |
| *352.222-70* | *Dec 2015* | *Contractor Cooperation in Equal Employment Opportunity Investigations* |
| *352.227-70* | *Dec 2015* | *Publications and Publicity* |
| *352.237-75* | *Dec 2015* | *Key Personnel* |

*[End of GENERAL CLAUSES FOR A FIXED-PRICE RESEARCH AND DEVELOPMENT SBIR PHASE II CONTRACT- Rev. 2/2023].*